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# DWI for Monitoring the Acute Response of Malignant Gliomas to Photodynamic Therapy

Y. Fujita, T. Sasayama, K. Tanaka, K. Kyotani, H. Nagashima, M. Kohta, H. Kimura, A. Fujita, and E. Kohmura



## ABSTRACT

**BACKGROUND AND PURPOSE:** Photodynamic therapy is a novel treatment that provides effective local control, but little is known about photodynamic therapy–induced changes on MR imaging. The aim of this study was to assess the utility of DWI and ADC in monitoring the response of malignant gliomas to photodynamic therapy.

**MATERIALS AND METHODS:** Time-dependent changes in DWI and ADC values after photodynamic therapy were analyzed in a group that received photodynamic therapy in comparison with a group that did not.

**RESULTS:** Twenty-four patients were enrolled (photodynamic therapy,  $n=14$ ; non-photodynamic therapy,  $n=10$ ). In all patients who received photodynamic therapy, linear high signals on DWI in the irradiated area were detected adjacent to the resection cavity and were 5–7 mm in depth from 1 day posttreatment and disappeared in about 30 days without any neurologic deterioration. The non-photodynamic therapy group did not show this change. The photodynamic therapy group had significantly lower ADC values from 1 day posttreatment ( $P < .001$ ), which increased steadily and disappeared by 30 days. There was no decline or time-dependent change in ADC values in the non-photodynamic therapy group.

**CONCLUSIONS:** The acute response of malignant gliomas to photodynamic therapy was detected as linear high signals on DWI and as a decrease in ADC values. These findings were asymptomatic and transient. Although the photodynamic therapy–induced acute response on MR imaging disappeared after approximately 30 days, it may be helpful for confirming the photodynamic therapy–irradiated area.

**ABBREVIATIONS:** CE = contrast-enhanced; PDT = photodynamic therapy

Standard therapy for malignant glioma, the most aggressive primary malignant brain tumor, includes maximal possible surgical resection followed by radiation therapy and concomitant temozolomide-based chemotherapy, which results in a median survival of 14.6 months.<sup>1</sup> The major problem with malignant glioma is its invasiveness. It is extremely difficult to remove all the tumor cells that have invaded the peripheral normal brain tissue.

Consequently, local recurrence develops in many cases.<sup>2,3</sup> Development of novel treatments that have both potency and high selectivity for tumor cells in the peripheral zone where normal brain cells and tumor cells coexist is desirable. Photodynamic therapy (PDT) is a novel treatment that could overcome these issues.

PDT is a light-activated treatment technique that harnesses tumor-selective accumulation of photosensitizer and a photochemical reaction on semiconductor laser irradiation. The oxygen in the tumor tissue is converted from the ground state to the excited state, singlet oxygen, which is cytotoxic and exerts an antitumor effect.<sup>4</sup> The concept of PDT was first reported in 1900,<sup>5</sup> developed as a treatment technique in the 1960s,<sup>6,7</sup> and entered into clinical trials for several types of cancer during the 1980s and 1990s.<sup>8–13</sup> The potential use of PDT for brain tumors was first reported in 1980,<sup>14</sup> and its effectiveness with porfimer sodium, a first-generation photosensitizer, for malignant brain tumors was reported in the 2000s.<sup>15–17</sup> In 2013, the safety and effectiveness of PDT with talaporfin sodium, a second-generation photosensitizer, for primary malignant brain tumors was established in a Phase II clinical trial in Japan. PDT for malignant

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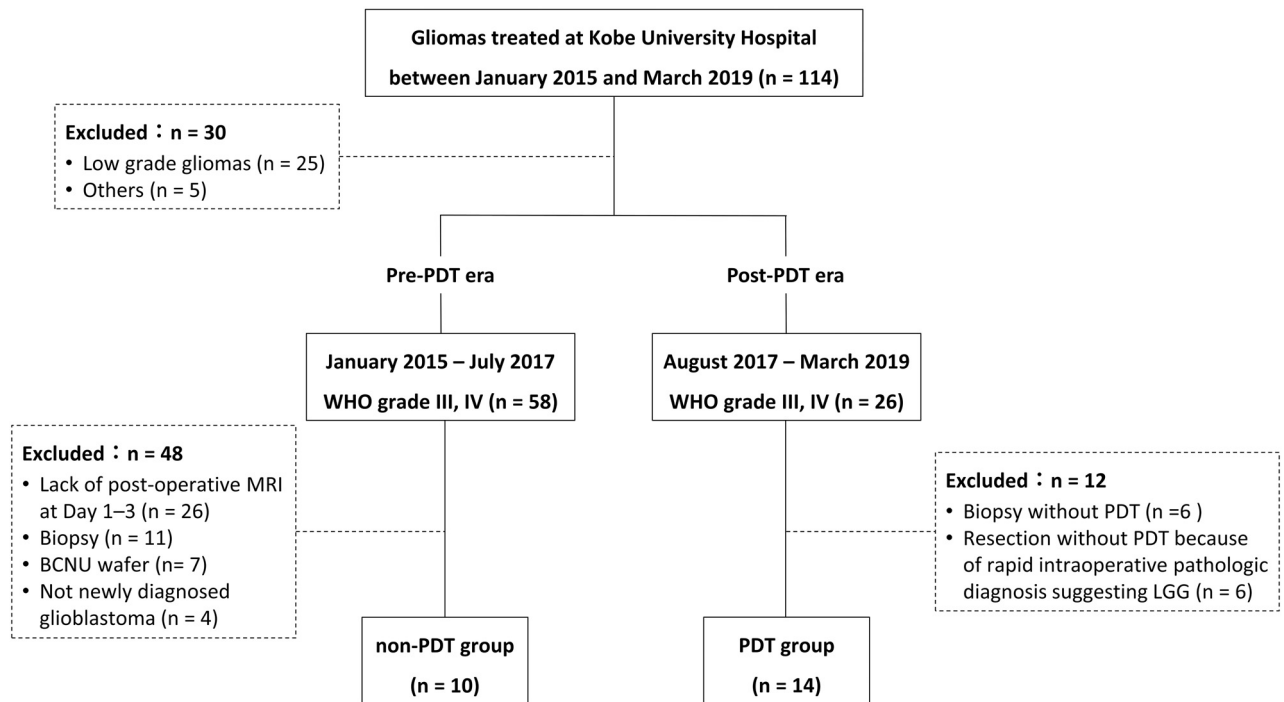
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**FIG 1.** Flow chart showing the patient selection process. LGG indicates low-grade glioma; BCNU, biodegradable carmustine.

brain tumors was subsequently approved for coverage under Japanese national health insurance.<sup>18</sup>

Postoperative follow-up is generally performed using MR imaging. Although a contrast effect in the resected cavity wall and fluid retention have been reported to be transient MR imaging abnormalities after PDT,<sup>19</sup> these changes can also occur after conventional brain tumor surgery.<sup>20</sup> It is unclear how purely PDT-induced changes in the tumor microenvironment are reflected on postoperative imaging. Therefore, it would be useful to clarify the characteristic changes on MR imaging after PDT to monitor the therapeutic response. Given the evidence for DWI and ADC in ischemic stroke,<sup>21–23</sup> we hypothesized that post-PDT changes in brain tumors could be captured by DWI and ADC. The aim of this study was to elucidate the changes on MR imaging that are purely attributable to PDT for malignant glioma to test this hypothesis.

## MATERIALS AND METHODS

### Study Design and Study Patients

The study was approved by the institutional review board (Kobe University Hospital) (protocol number B190100) and conducted according to institutional and national ethical guidelines and in accordance with the Helsinki Declaration.

Since August 2017, our institution has used PDT in combination with conventional surgery without a biodegradable carmustine wafer in patients with World Health Organization grade III or IV glioma confirmed by rapid intraoperative pathologic diagnosis who underwent >90% (gross-total or subtotal) resection or intentional partial resection because of involvement of eloquent areas. Between January 2015 and March 2019, eighty-four of 114 patients with glioma treated at our institution were confirmed histopathologically to have World Health Organization grade III or IV

glioma. Twenty-six of these 84 patients were treated during our post-PDT era, from August 2017 to March 2019. Fourteen of these patients underwent PDT and were enrolled in this study as the PDT group; the remaining 12 patients were excluded because they had undergone biopsy without PDT ( $n = 6$ ) or resection without PDT because of an intraoperative pathologic diagnosis suggesting low-grade glioma ( $n = 6$ ). We analyzed the post-PDT time-dependent changes on DWI, in ADC values, and on contrast-enhanced T1WI (CE-T1WI) and T2-weighted FLAIR images obtained on days 1, 7, 14, 30, and 60 after the operation. Furthermore, to confirm whether the findings were specific for PDT, we compared the PDT group with a non-PDT group selected using the following criteria: newly diagnosed glioblastoma treated without PDT and without a biodegradable carmustine wafer at our institution during the pre-PDT era from January 2015 to July 2017, >90% resection or intentional partial resection because of involvement of eloquent areas, and adequate MR imaging scans obtained at least once during each of the following periods: 1–3 days, 14–30 days, and 60 days after the operation. Of the 58 patients with World Health Organization grade III or IV glioma treated during the pre-PDT era, 10 met the inclusion criteria and were selected as the non-PDT group and 48 were excluded because of lack of postoperative MR imaging at 1–3 days ( $n = 26$ ), biopsy ( $n = 11$ ), surgery with a biodegradable carmustine wafer ( $n = 7$ ), or not having a newly diagnosed glioblastoma ( $n = 4$ ). The flow chart outlining the patient-selection process is shown in Fig 1. The histopathologic diagnosis was performed according to the 2016 World Health Organization guidelines.<sup>24</sup>

### Surgical Treatment with PDT

Patients who were scheduled for PDT received a single intravenous injection of talaporfin sodium (Laserphyrin; Meiji Seika

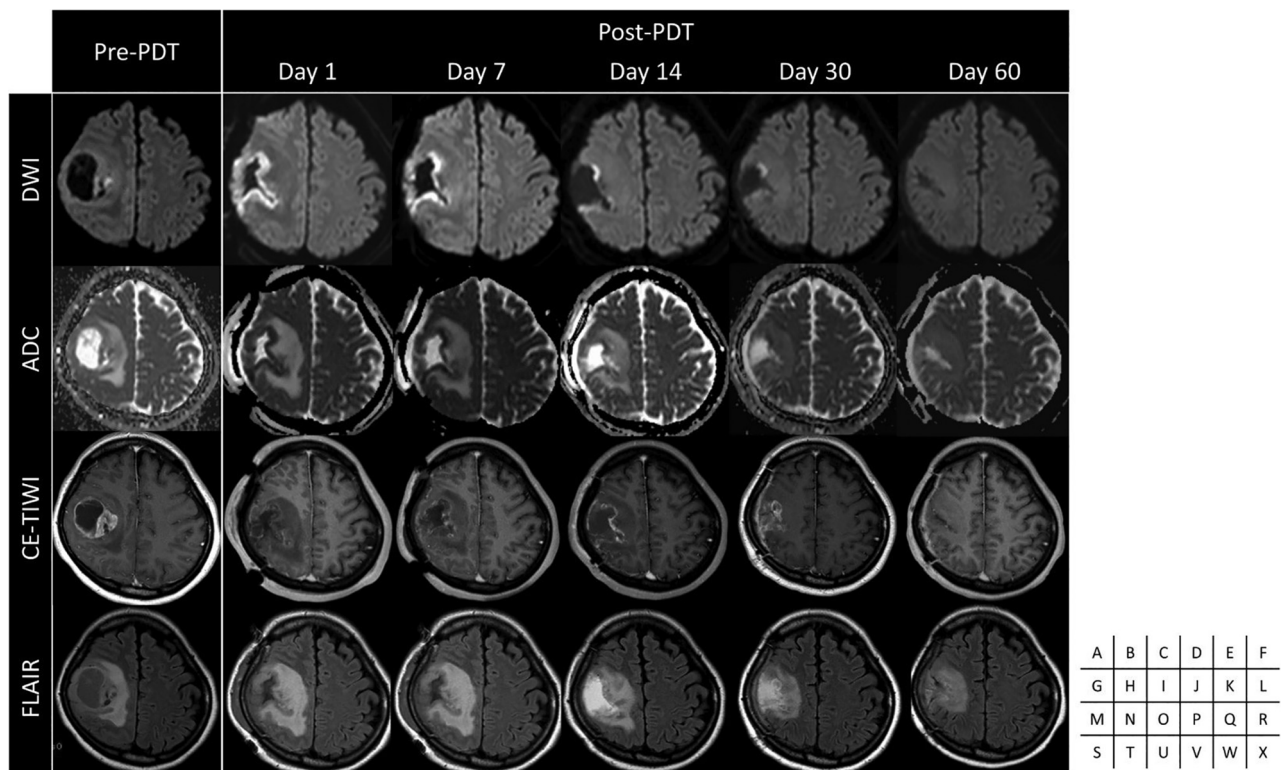
**Patient characteristics**

Characteristic	PDT (n = 14)	Non-PDT (n = 10)	P Value
Age (yr)			.98
Median (range)	61.5 (35–70)	61.0 (36–72)	
Sex (No.) (%)			.92
Male	8 (57)	6 (60)	
Female	6 (43)	4 (40)	
Preoperative Karnofsky Performance Status Scale score			.17
Median (range)	80 (30–100)	70 (30–100)	
Tumor locations (No.) (%)			.67
Frontal	9 (64)	5 (50)	
Temporal	3 (21)	4 (40)	
Parietal	1 (7)	1 (10)	
Basal ganglia	1 (7)	0 (0)	
Preoperative tumor volume (cm <sup>3</sup> )			.07
Median (range)	31.7 (2.2–140.0)	70.3 (16.4–153.5)	
Extent of resection (No.) (%)			.91
Gross-total	10 (71)	7 (70)	
Subtotal	2 (14)	1 (10)	
Partial	2 (14)	2 (20)	
Histopathology (No.) (%)			.14
Glioblastoma	11 (79)	10 (10)	
Anaplastic astrocytoma	1 (7)	0 (0)	
Anaplastic oligodendroglioma	2 (14)	0 (0)	
Isocitrate dehydrogenase mutation status (No.) (%)			.50
Wild-type	11 (79)	9 (90)	
Mutant	3 (21)	1 (10)	
MIB-1 index			.65
Median (range)	20 (10–80)	20 (8–40)	

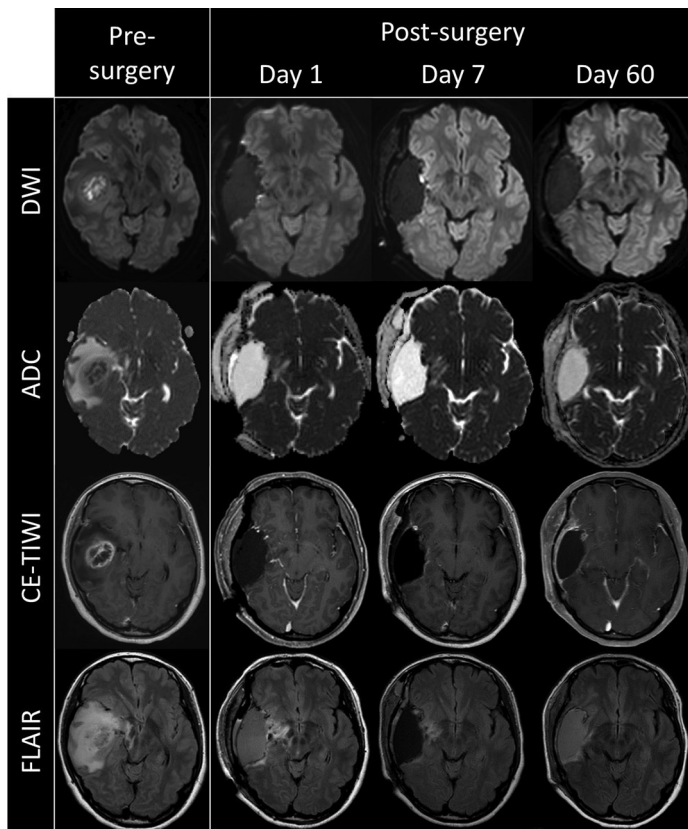
Pharma, Tokyo, Japan) at a dose of 40 mg/m<sup>2</sup> 22–26 hours before the operation. After maximum resection of the enhanced lesion, the entire resection cavity was irradiated with a 664-nm semiconductor laser (PD laser; Meiji Seika Pharma, Tokyo, Japan) (diameter, 1.5 cm; radiation power density, 150 mW/cm<sup>2</sup>; radiation energy density, 27 J/cm<sup>2</sup>). Each irradiation was performed for 3 minutes per spot without overlap of the previously irradiated area and without limitation of the number of irradiation spots. The canopy walls were irradiated by reflecting the beam using a mirror. The large blood vessels were protected by aluminum foil so as not to be directly irradiated. Post-therapeutic light protection (<500 lux) was performed for 10–14 days to prevent photosensitive dermatosis.

**Imaging Analysis**

DWI was performed with a 3T MR imaging scanner (Achieva; Philips Healthcare, Best, the Netherlands) using echo-planar imaging and the



**FIG 2.** A representative case in the PDT group. Preoperative DWI (A), ADC map (G), CE-T1WI (M), and FLAIR (S) demonstrate the lesion with a cyst in the right frontal lobe. Postoperative DWI (B–F), ADC map (H–L), CE-T1WI (N–R), and FLAIR (T–X) show time-dependent changes after PDT.



**FIG 3.** A representative case in the non-PDT group. Preoperative DWI (A), ADC map (E), CE-T1WI (I), and FLAIR (M) demonstrate the lesion in the right temporal lobe. Postoperative DWI (B–D), ADC map (F–H), CE-T1WI (J–L), and FLAIR (N–P) show time-dependent changes after the operation.

following parameters: b-values, 0 and 1000 s/mm<sup>2</sup>; TR/TE, 4500/75 ms; FOV, 240 mm; section thickness, 4 mm; section gap, 1 mm; matrix, 109 × 128; and flip angle, 90°. The ADC values from DWI of 2 types (b-values, 0 and 1000 s/mm<sup>2</sup>) were calculated per voxel to create the ADC map. An ROI measuring 10–20 mm<sup>2</sup> was drawn manually on a representative DWI section of the largest resection cavity and was set on the PDT-irradiated area in the PDT group and on the surgical resection margin in the non-PDT group. The ROI was then reflected to the ADC map. To assess time-dependent changes, we set ROIs numbered 1–5, respectively, with a wide and balanced distribution and followed them at the same anatomic locations. The mean of the ADC values within the ROIs was used for statistical analysis. The ROIs were drawn by a single neurosurgeon (Y.F.) and confirmed by another neurosurgeon (T.S.).

The conventional MR imaging sequences included FLAIR (TR/TE/TI, 4500/148/1600 ms; FOV, 240 mm; matrix, 240 × 240; section thickness, 1.0 mm) and 3D T1WI (TR/TE/TI, 6.4/2.8/1200 ms; FOV, 260 mm; matrix, 320 × 320; section thickness, 0.8 mm) before and after injection of the intravenous gadolinium contrast agent (0.2 mL/kg, MagneScope; Meglumine gadoterate, Guerbet, Paris, France).

### Statistical Analysis

The characteristics of each group were compared using the Fisher exact test and the Mann–Whitney *U* test. The Friedman test was

used to assess the postoperative time-dependent change in ADC values. The Bonferroni test was used for post hoc comparisons. The Mann–Whitney *U* test was used to compare ADC values between the groups. All statistical analyses were performed with EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan), which is a graphic user interface for R statistical and computing software (<http://www.r-project.org/>).<sup>25</sup> A 2-sided *P* value of <.05 was considered statistically significant.

## RESULTS

### Patient Characteristics

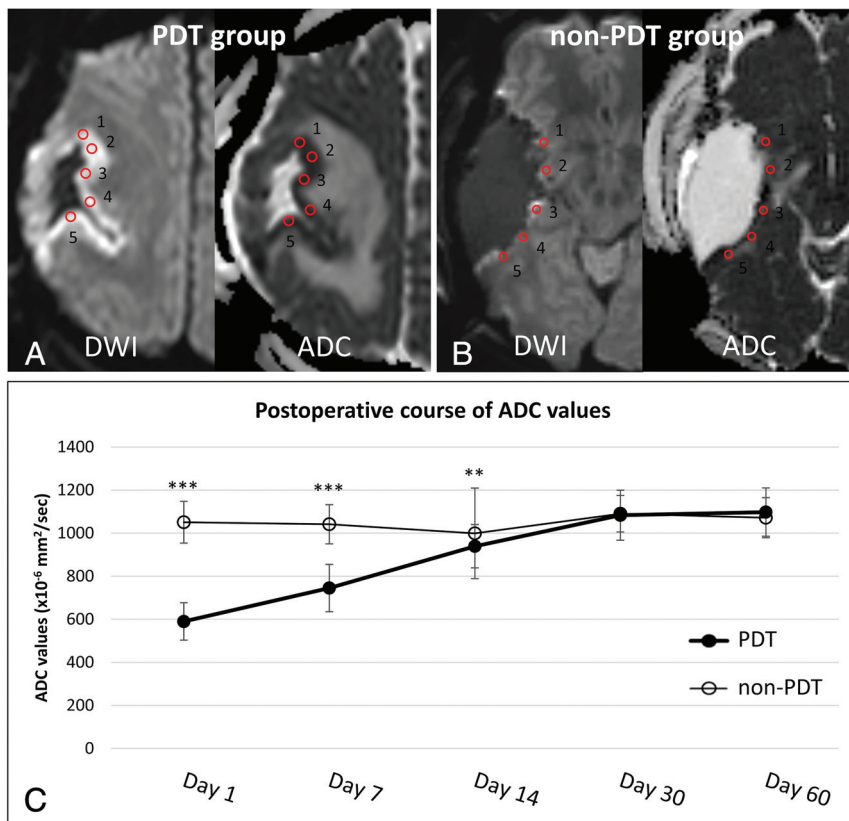
In total, 24 patients were included in the study. There were 14 patients (8 men, 6 women; median age, 61.5 years [range, 35–70 years]) in the PDT group and 10 patients (6 men, 4 women; median age, 61.0 years [range, 36–72 years]) in the non-PDT group (Fig 1). The characteristics of the patients in both groups are summarized in the Table. There were no statistically significant between-group differences in age, sex, preoperative Karnofsky Performance Status Scale score, tumor location, preoperative tumor volume, or extent of resection

at baseline. Intraoperative MR imaging was performed in 12 patients (86%) in the PDT group and 8 (80%) in the non-PDT group. In the PDT group, all 12 patients who underwent intraoperative MR imaging had no evidence of surgery-related ischemia or hemorrhage before PDT. Awake surgery was performed in 1 (7%) of the patients in the PDT group and 3 (30%) of those in the non-PDT group because the tumors were close to the Broca area. There was no statistically significant difference in histology, *isocitrate dehydrogenase* status, or the MIB-1 index between the 2 groups. The median number of irradiation spots was 13 (range, 5–31) in the PDT group. No patient in either group showed neurologic deterioration postoperatively or a deterioration in Karnofsky Performance Status Scale score at 60 days.

### Post-PDT MR Imaging

In all patients in the PDT group, linear high signals on DWI in the irradiated area were detected adjacent to the resection cavity and were 5–7 mm in depth from 1 day posttreatment and disappeared in about 30 days without any neurologic deterioration (Fig 2). The area on the ADC map corresponding to the high signals on DWI was hypointense. None of the patients in the non-PDT group showed this change (Fig 3).

These changes in DWI were also tracked by the ADC value. The PDT group had lower ADC values from 1 day after PDT. The ADC values (expressed as 10<sup>-6</sup> mm<sup>2</sup>/s) increased in a time-dependent manner, continued to increase significantly up to



**FIG 4.** Postoperative DWI (left) and ADC map (right) demonstrate representative settings for the ROIs in the PDT group (A) and non-PDT group (B). The small circles labeled 1–5 indicate the ROIs used for analysis of the ADC values. A line graph demonstrates the postoperative time-dependent change in ADC values in the PDT group (thick line) and non-PDT group (thin line) (C). Double asterisks indicate  $P < .01$ ; triple asterisks,  $P < .001$ , Mann-Whitney U test.

30 days after PDT ( $589.8 \pm 86.7$  on day 1;  $745.1 \pm 109.9$  on day 7,  $939.1 \pm 100.3$  on day 14, and  $1083.2 \pm 116.2$  on day 30;  $P < .001$ ), and reached a plateau ( $1097.6 \pm 112.2$  on day 60;  $P = 1.0$ , Fig 4). In contrast, there was no decline and time-dependent change in ADC values after the operation in the non-PDT group ( $1050.8 \pm 96.9$  on day 1,  $1041.2 \pm 91.0$  on day 7,  $998.8 \pm 210.3$  on day 14,  $1090.4 \pm 84.7$  on day 30, and  $1071.5 \pm 92.8$  on day 60;  $P = .44$ , Fig 4). The ADC values in the PDT group were significantly lower than those in the non-PDT group until 14 days after the operation; thereafter, the difference was no longer statistically significant (day 1,  $P < .001$ ; day 7,  $P < .001$ ; day 14,  $P = .002$ ; day 30,  $P = .33$ ; and day 60,  $P = .36$ ; Fig 4).

One patient in the PDT group had both PDT-irradiated and nonirradiated areas because the middle cerebral artery ran through the center of the resected cavity. In this case, the nonirradiated area showed neither high signal on DWI nor a decline in the ADC value. ADC values in the nonirradiated area remained in the range of  $1000\text{--}1200 \times 10^{-6} \text{ mm}^2/\text{s}$  from day 1 to day 60 after the operation with PDT (Fig 5).

On CE-T1WI, all patients in both groups showed thin linear contrast effects along the resected cavity wall at 1–2 weeks after the operation. Fluid retention was observed on FLAIR in 9 patients (64%) in the PDT group and 7 (70%) of those in the non-PDT group. The difference between the 2 groups was not statistically significant ( $P = .56$ ).

## DISCUSSION

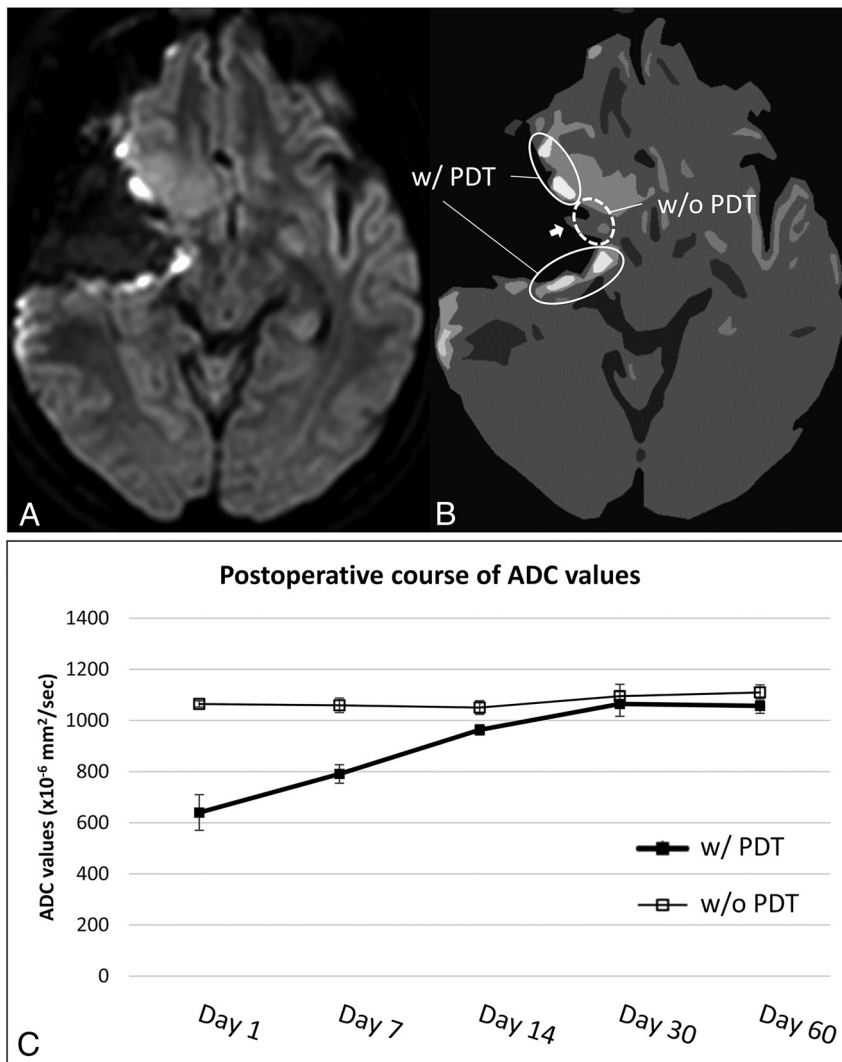
Surgery combined with PDT is a novel localized treatment for malignant glioma that can selectively kill tumor cells invading from the surgical resection margin to the peripheral normal brain. Previous studies have demonstrated its safety and effectiveness.<sup>18,19,26</sup> PDT with talaporfin sodium, a second-generation photosensitizer, has been safely used in patients with malignant glioma at our institution since August 2017. In this study, we identified specific changes on MR imaging scans that can be used to monitor the early response to PDT. The PDT-irradiated site showed linear high signals of 5–7 mm on DWI from the acute phase after PDT that were transient and asymptomatic. Furthermore, the high signals on DWI had concurrently lower ADC values, which improved in a time-dependent manner parallel to the signal intensity on DWI.

DWI is an MR imaging sequence that images the Brownian motion of water molecules in tissue. The ADC is less susceptible to T2 shinning, which is a problem with DWI, and accurately reflects the diffusivity of water molecules. Cellular swelling and

narrowing of the extracellular space due to effects of cytotoxicity such as ischemia, known as cytotoxic edema, restrict the movement of water.<sup>21,23</sup> In general, regions with cell damage show high signals on DWI and low ADC values.

Three main mechanisms are known to underpin the effect of PDT: 1) direct tumor cell killing including apoptosis and necrosis,<sup>26,27</sup> 2) tumor-associated vascular damage,<sup>28,29</sup> and 3) activation of the immune response against tumor cells.<sup>30–32</sup> These mechanisms also influence each other.<sup>33,34</sup> The antitumor effects cannot be attributed to the photosensitizer alone or the laser alone.<sup>35,36</sup> The photosensitizer used in PDT is transformed from its ground state into an electronically excited state by laser light of a specific wavelength and transfers the energy directly to triplet oxygen to form singlet oxygen (a type II reaction), which exerts an antitumor effect.<sup>4</sup> We could capture the PDT-induced early response as high signals on DWI and low ADC values because the cell damage and microcirculatory impairment caused by PDT led to restricted diffusivity of water molecules. These changes in DWI and ADC values were observed only in the PDT-irradiated area and not in the nonirradiated area, even in the same patient.

Furthermore, the tissue transmittance of the laser depends on the wavelength and irradiation power. The semiconductor laser used in this study ( $664 \text{ nm}$ ,  $150 \text{ mW/cm}^2$ ) has been reported to penetrate to an approximate tissue depth of 5 mm.<sup>19</sup> The match of the width of the DWI high signal and the depth of penetration



**FIG 5.** DWI (A) and a schematic illustration of A (B) show the post-PDT changes in irradiated and nonirradiated areas on day 1 for 1 patient in the PDT group. The circle with the solid line indicates the area with PDT irradiation (w/PDT). The circle with the dotted line indicates the area without PDT irradiation (w/o PDT). The white arrow indicates the middle cerebral artery. The line graph shows the postoperative time-dependent changes in ADC values in areas with PDT (thick line) and without PDT (thin line) (C).

of the laser strongly suggests that the abnormal findings on DWI and ADC were caused by the effect of PDT. Although the level and postoperative course of the ADC values in the PDT group were similar to those in ischemic stroke, the initial recovery of the ADC values was found to be more rapid after PDT than after stroke.<sup>23</sup> Moreover, all the changes in DWI and ADC values in the PDT group were asymptomatic in this study. PDT is able to attack only tumor cells with accumulated photosensitizer while preserving the surrounding normal brain cells without a bystander effect because singlet oxygen has a short migration distance of 0.02–1  $\mu\text{m}$  and a short lifetime in the range of 0.04–4  $\mu\text{s}$ .<sup>37,38</sup> Therefore, the asymptomatic nature of our findings on DWI and ADC further supports our hypothesis.

The other abnormalities seen on CE-T1WI and FLAIR were similar to those reported previously<sup>19,20</sup> and could not be used

to distinguish between the PDT and non-PDT groups. These findings were transiently observed in the subacute phase after the operation but not in the acute phase, which might reflect inflammation and immunoreactivity after surgery and PDT.

In summary, our findings suggest that a linear high signal on DWI accompanied by a decline in ADC values after PDT are purely PDT-induced MR imaging changes. Although PDT-induced MR imaging changes on DWI and in ADC values are transient and disappear by around 30 days, they seem to be useful for confirming the PDT-irradiated area. To our knowledge, this is the first study to show the usefulness of DWI and ADC values for monitoring the PDT-induced acute response of malignant glioma. However, our study also had several limitations. First, the number of patients treated with PDT was small. Second, the study was conducted at a single institution. Third, the ROIs for the ADC values reflected some but not all of the regions in which PDT was suspected to have had an effect. Fourth, surgery-related changes before PDT were not confirmed intraoperatively in 2 of the 14 patients in the PDT group. Finally, this study could not fully examine the utility of DWI and ADC values when monitoring recurrence and progression after PDT because the follow-up time was relatively short. Six of 14 patients in the PDT group experienced tumor recurrence, and only 3 patients exhibited local recurrence during follow-up. In

contrast, all patients in the non-PDT group had recurrence, which was local in 6 patients. Most interesting, all the local recurrences in the PDT group arose from the nonirradiated area, which did not show a high signal on DWI. Further studies are needed to clarify the association of our findings with pathologic mechanisms and their long-term impact. Nevertheless, findings that the visually apparent changes on DWI and the change in ADC values were consistent across all PDT patients in this study are clinically worthwhile.

## CONCLUSIONS

This study provided new evidence that the acute response of malignant glioma to PDT could be detected as linear high signals on DWI and a decline in ADC values that were asymptomatic and transient. These linear high signals on DWI may be useful for confirming the PDT-irradiated area.

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